



# PART B - FEE(S) TRANSMITTAL

Complete and mail this form, together with applicable fee(s), to:

Box ISSUE FEE  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**MAILING INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

7590

01/28/2002

DAVID R RISLEY  
THOMAS KAYDEN HORSTEMEYER & RISLEY LLP  
100 GALLERIA PARKWAY NW  
SUITE 1750  
ATLANTA, GA 30339

Note: The certificate of mailing below can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing.

## Certificate of Mailing

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above on the date indicated below.

Mary Meegan (Depositor's name)  
Mary Meegan (Signature)  
4-4-02 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/339,132	06/24/1999	THOMAS L. RAINEY	51810-1050	2210

TITLE OF INVENTION: SEGMENTAL RETAINING WALL SYSTEM

TOTAL CLAIMS	APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
22	nonprovisional	<del>YES</del> No	\$1,280.00	\$0	\$1,280.00	04/29/2002

EXAMINER	ART UNIT	CLASS-SUBCLASS
NOVOSAD, CHRISTOPHER J	3671	405-284000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Use of PTO form(s) and Customer Number are recommended, but not required.

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47) attached.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Thomas, Kayden,  
1 Horstemeyer & Risley, LLP  
2  
3

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE  
Anchor Wall Systems, Inc.

(B) RESIDENCE (CITY and STATE OR COUNTRY)  
Minnetonka, Minnesota

Please check the appropriate assignee category or categories (will not be printed on the patent) ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee  
☐ Publication Fee  
☒ Advance Order - # of Copies ten (10)

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 20-0778 (enclose an extra copy of this form).

The COMMISSIONER OF PATENTS AND TRADEMARKS is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

(Date)

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending on the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, United States Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND FEES AND THIS FORM TO: Box Issue Fee, Assistant Commissioner for Patents, Washington, D.C. 20231

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04/16/2002 BNGUYEN2 00000211 200778 09339132

01 FC:142 1280.00 CH  
02 FC:561 30.00 CH

TRANSMIT THIS FORM WITH FEE(S)

PATENT #18

DM

05/08/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of: Rainey

Group No.: 3671

Serial No.: 09/339,132

Examiner: Novosad, C.

Filed: 06/24/99

For: Segmental Retaining Wall System



Patent No.\*:

Issued:

\*NOTE: Insert name of inventor(s) and title also for patent. Where notification is with respect to a maintenance fee payment, also insert application serial number and filing date, and add Box M. Fee to address

Assistant Commissioner for Patents  
Washington, D.C. 20231

NOTIFICATION OF LOSS OF ENTITLEMENT OF SMALL ENTITY STATUS  
(37 C.F.R. §1.28(b))

NOTE: Notification of any change in status resulting in loss of entitlement to small entity status must be filed in an application or patent prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate, pursuant to § 1.9 of this Part. The notification of change in status may be signed by the applicant, any person authorized to sign on behalf of the assignee, or any attorney or agent of record or acting in a representative capacity pursuant to §1.34(a) of this part. 37 C.F.R. §1.28(b).

NOTE: From the above portion of 37 C.F.R. §1.28(b), it is only a change in status "resulting in loss of entitlement to small entity status" that must be filed, and a change from one small entity status to another small entity status requires no notification.

CERTIFICATE OF MAILING (37 CFR 1.8)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being



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transmitted by facsimile to the Patent and Trademark Office.

Date:

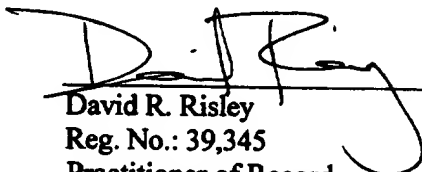
4-4-02

Mary Meegan  
Mary Meegan

Applicant hereby notifies the Patent and Trademark Office that it is no longer entitled to status as a small entity, and that the claim for small entity status, set forth in the verified statement filed on June 24, 1999, is hereby withdrawn.

Date: Apr. 4, 2002

Telephone No. (770) 933-9500

  
\_\_\_\_\_  
David R. Risley  
Reg. No.: 39,345  
Practitioner of Record

**THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY, L.L.P.**  
100 Galleria Parkway, Suite 1750  
Atlanta, Georgia 30339



**CERTIFICATE OF MAILING**

I hereby certify that the below listed items are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents  
Box: Issue Fee  
Washington, D.C. 20231**

on April 4, 2002.

Mary Meegan  
Mary Meegan

In re application of: **Rainey**

Art Unit: 3671

U.S. Serial Number: 09/339,132

Examiner: Novosad, C.

Filing Date: June 24, 1999

Our Reference Number: 051810-1050

Title: Segmental Retaining Wall System

The following is a list of documents enclosed:

Return Postcard  
Issue Fee Transmittal (in duplicate)  
Notification of Loss of Entitlement of Small Entity Status

Further, the Commissioner is authorized to charge Deposit Account No. 20-0778 for any additional fees required. The Commissioner is requested to credit any excess fee paid to Deposit Account No. 20-0778.

Cert-Mailing-Reg